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## **Endotypes of allergic diseases and asthma: An important step in building blocks for the future of precision medicine**

Agache, Ioana ; Akdis, Cezmi A

**Abstract:** Discoveries from basic science research in the last decade have brought significant progress in knowledge of pathophysiologic processes of allergic diseases, with a compelling impact on understanding of the natural history, risk prediction, treatment selection or mechanism-specific prevention strategies. The view of the pathophysiology of allergic diseases developed from a mechanistic approach, with a focus on symptoms and organ function, to the recognition of a complex network of immunological pathways. Several subtypes of inflammation and complex immune-regulatory networks and the reasons for their failure are now described, that open the way for the development of new diagnostic tools and innovative targeted-treatments. An endotype is a subtype of a disease condition, which is defined by a distinct pathophysiological mechanism, whereas a disease phenotype defines any observable characteristic of a disease without any implication of a mechanism. Another key word linked to disease endotyping is biomarker that is measured and evaluated to examine any biological or pathogenic processes, including response to a therapeutic intervention. These three keywords will be discussed more and more in the future with the upcoming efforts to revolutionize patient care in the direction of precision medicine and precision health. The understanding of disease endotypes based on pathophysiological principles and their validation across clinically meaningful outcomes in asthma, allergic rhinitis, chronic rhinosinusitis, atopic dermatitis and food allergy will be crucial for the success of precision medicine as a new approach to patient management.

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Invited review article

## Endotypes of allergic diseases and asthma: An important step in building blocks for the future of precision medicine

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AD, atopic dermatitis; AHR, airway hyperreactivity; AR, allergic rhinitis; BAL, bronchoalveolar lavage; Bcl-2, B-cell lymphoma 2 protein; BNA, Bayesian Network Analysis; CCL, CC chemokine ligand; CGBN, Conditional Gaussian Bayesian Network; CD, cluster of differentiation; CLP, chitinase-like proteins; COPD, chronic obstructive lung disease; CpG, 5'-Cytosine-phosphate-Guanine-3'; CRS, chronic rhinosinusitis; CRTH2, chemokine receptor homologous molecule expressed on Th2 lymphocytes; CXCL, CXC chemokine ligand; CXCR2, CXC chemokine receptor 2; eNO, exhaled nitric oxide; FA, food allergy; FDA, Food and Drug Administration; Gal, galectin; GATA, gamma-amino-n-butyrate transaminase; G-CSF, granulocyte colony-stimulating factor; GM-CSF, granulocyte-macrophage colony-stimulating factor; ICAM, intercellular adhesion molecule; Ig, immunoglobulin; IL, interleukin; ILC, innate lymphoid cell; IFN, interferon; LCA, latent class analysis; LIGHT, tumor necrosis factor superfamily member 14; MAIT, mucosal associated invariant T cell; MARS, multivariate regression splines; MAP-kinase, mitogen-activated

## ABSTRACT

Discoveries from basic science research in the last decade have brought significant progress in knowledge of pathophysiologic processes of allergic diseases, with a compelling impact on understanding of the natural history, risk prediction, treatment selection or mechanism-specific prevention strategies. The view of the pathophysiology of allergic diseases developed from a mechanistic approach, with a focus on symptoms and organ function, to the recognition of a complex network of immunological pathways. Several subtypes of inflammation and complex immune-regulatory networks and the reasons for their failure are now described, that open the way for the development of new diagnostic tools and innovative targeted-treatments. An endotype is a subtype of a disease condition, which is defined by a distinct pathophysiological mechanism, whereas a disease phenotype defines any observable characteristic of a disease without any implication of a mechanism. Another key word linked to disease endotyping is biomarker that is measured and evaluated to examine any biological or pathogenic processes, including response to a therapeutic intervention. These three keywords will be discussed more and more in the future with the upcoming efforts to revolutionize patient care in the direction of precision medicine and precision health. The understanding of disease endotypes based on pathophysiological principles and their validation across clinically meaningful outcomes in asthma, allergic rhinitis, chronic rhinosinusitis, atopic dermatitis and food allergy will be crucial for the success of precision medicine as a new approach to patient management.

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protein kinases; MMP, matrix metalloproteinase; MUC, mucin; NF, nerve fibers; NGF, nerve growth factor; NK, natural killer; NNCS, non-neuronal cholinergic system; NP, nasal polyps; ORA, over-representation analyses; PGE<sub>2</sub>, prostaglandin E<sub>2</sub>; PGP, proline-glycine-proline; PPIN, protein–protein interaction network; RAR, retinoic acid receptor; ROC, receiver operating characteristic curves; RUNX, Runt-related transcription factor X; S1P, sphingosine 1-phosphate; SCORAD, scoring atopic dermatitis; SNP, single-nucleotide polymorphism; SR, steroid resistant; SS, steroid sensitive; TDA, topological data analysis; TGF, transforming growth factor; Th, T helper; TNF, tumor necrosis factor; Treg, T regulatory cell; TSLP, thymic stromal lymphopoietin; VCAM, vascular endothelial cellular adhesion molecule; YKL-40, chitinase-3-like protein 1

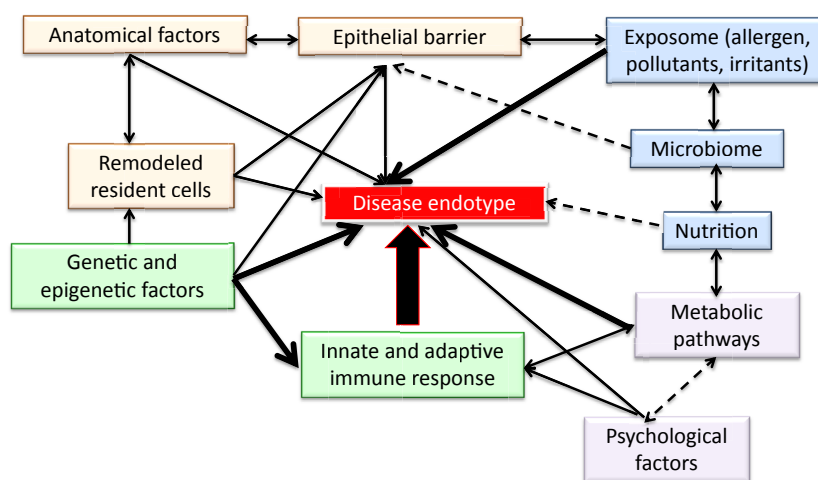
### The concept of endotypes, phenotypes, biomarkers and precision medicine

The three key words endotype, phenotype and biomarker will be one of the main topics of research on the way of building blocks of precision medicine and precision health.<sup>1–3</sup> The word “endotype” uncovers molecular mechanisms underlying observable disease characteristics known as “phenotype”.<sup>4,5</sup> Assigning unique mechanisms and biomarkers for each endotype is crucial for the validity of the endotype.<sup>5,6</sup> So far only few (if any) valid endotypes have been identified in allergic disease, all with therapeutic implications. To further elaborate on the definition of an endotype one must recognize that one major pathogenic pathway such as type 2 immune response is highly complex, including several determinants with nonlinear dynamic interactions (Fig. 1) and heterogeneous, since not all determinants are present in all patients or, in a given patient, at all time points.<sup>6,7</sup> We therefore must embrace the concept of a “*complex endotype*” consisting of several sub-endotypes as opposed to an endotype that encompasses a single molecular mechanism.<sup>7</sup>

### Epigenetics and endotypes of allergy and asthma

Epigenetic programming during early life influences is crucial for the development of the endotypes of allergic diseases. Increased knowledge on developmental endotypes addressing disease inception and progression are needed for the outset of early prevention and disease modifying strategies. Crucial determining factors for complex immune regulation and barrier function include respiratory infections, microbiome, and nutrition. Epigenetic mechanisms link gene regulation to environmental influences and developmental trajectories.<sup>8</sup> Developmental programming induced by the intrauterine environment (cigarette smoke, nutrition, and stress) affects the fetus and its germ line, with intergenerational epigenetic effects. Developmental programming can be transmitted across generations (trans-generational effects) and cannot be anymore attributed to direct environmental exposure.

Several time-dependent DNA methylation signatures associated with allergic disease developmental endotypes are described. The Tucson Infant Immune Study identified in cord blood mononuclear cells 589 differentially methylated regions associated with



**Fig. 1.** Factors that affect a disease endotype in allergic diseases. For precision medicine in allergic disease, more mechanistic approaches are needed, based on an integrated understanding of the individual patient's biological mechanisms, including the interplay between the immune response and the exposome, infections and microbiome, genetics, epigenetics, psychosocial factors, nutrition, anatomical factors and metabolic pathways.

childhood asthma. These regions mapped to genes that cluster in immunoregulatory and pro-inflammatory pathways.<sup>9</sup> Examination of the methylome of children with or without food sensitization at birth and 12 months revealed a novel 92-CpG signature in CD4 T cells, enriched in genes encoding MAP kinase signaling molecules, distinguishing children who developed clinical food allergy by age 12 months. This signature was stable from birth until 12 months of age, suggesting that the children bearing that signature were on an epigenetic path to disease already at birth.<sup>10</sup> DNA methylation could mechanistically explain the effect of season of birth on allergy. In a subset of participants from the Isle of Wight birth cohort autumn birth increased risk of eczema, relative to spring birth. Methylation status of 92 CpGs showed association with season of birth. Results were validated in the Prevention and Incidence of Asthma and Mite Allergy (PIAMA) cohort and showed significantly more CpGs with the same directionality than expected by chance, and four were statistically significant. Season-associated methylation was enriched among networks relating to development, the cell cycle, and apoptosis. Twenty CpGs were nominally associated with allergic outcomes. Season-associated methylation was absent in newborns, suggesting it appears postnatal.<sup>11</sup> DNA methylation marks involved in T-cell maturation (RUNX3), type 2 immune response (IL4), and oxidative stress (catalase) were associated with allergic asthma in the inner city study.<sup>12</sup> In monozygotic twin pairs with discordance for asthma symptoms methylomic markers in peripheral blood differentiate remitting and persisting asthma.<sup>13</sup> Peripheral blood readily detectable DNA methylomic biomarkers outperformed allergen-specific IgE and skin prick tests for predicting food challenge outcomes.<sup>14</sup>

### Biomarkers, precision medicine and endotypes

Biomarkers, or biological markers, are measurable indicators used to examine any aspects of health or disease. Any type of analyses can be a biomarker and may provide information about the pathophysiology of an underlying disease, the course of an illness, and/or the response to treatment. They are expected to inform us if a disease is present or absent, define its severity, provide information about its progression, serve to select most effective treatment, and/or serve as guidance about the affected individual's survival. Biomarkers are used at present to predict treatment response and very few to forecast disease risk and progression. It should be noted that most biomarkers are currently suited only for research settings and still need to be validated and qualified.<sup>15–17</sup> Steps towards biomarker qualification are clearly delineated by regulators lead by the FDA.<sup>18</sup> At present, biomarkers are not sufficiently specific to select the endotype specifically responding to a targeted treatment. For example, blood eosinophils predict response to anti-IL-4/IL-13, anti-IL-5, and anti-IgE antibodies, as well as CRTH2 antagonists and the clinician will face a challenge of how best to treat severe asthma patients with high blood eosinophils.<sup>3,17</sup>

Precision medicine represents a novel approach, embracing four key features: personalized care based on molecular, immunologic and functional endotyping of the disease, with participation of the patient in the decision making process of therapeutic actions, and considering predictive and preventive aspects of the treatment.<sup>1–3,19,20</sup> Asthma, allergic rhinitis (AR), chronic rhinosinusitis (CRS), food allergy (FA) and atopic dermatitis (AD) are ideally suited for precision medicine, because they represent an umbrella of different diseases that partially share biological mechanisms (endotypes) and present similar visible properties (phenotypes) that require an individualized approach for a better selection of treatment responders, risk prediction and design of disease-modifying strategies.<sup>3,16,20</sup> The precision in the clinic

needs four main components: an improved disease taxonomy is a current unmet need because none of the disease endotypes in allergic diseases are included in the existing taxonomies; full patient monitoring using novel digital technology and the concept of endotypes and novel biomarkers is a must; improved understanding and common usage of disease phenotypes, endotypes and biomarkers preferentially at the point of care; and finally biomarker and endotype-linked patient care and usage of precision therapies.<sup>1</sup>

Longitudinal follow-up of clinical and molecular profiles is essential to confirm the validity of an endotype.<sup>21</sup> Participants in the Childhood Asthma Management Program (CAMP) study were evaluated 9 and 14 years after they ended the trial. Differences in clinical characteristics observed between subjects assigned to different phenotypic clusters persisted into young adulthood and were associated with differences in molecular signatures for atopy and asthma control.<sup>22</sup>

### New bioinformatics tools to define endotypes

Several new approaches are used nowadays to define an endotype, including more accessible tools for human immunophenotyping, specific targeted immune therapies and the application of omics and new statistical tools. Gene expression profiling of airway samples introduced the definition of the type-2 asthma endotype.<sup>23</sup> Novel biomarkers of Th2 inflammation are being identified in easily accessible non-invasive samples such as serum, exhaled air, nasal brushing, urine and sputum.<sup>24–26</sup> Transcriptomic endotypes of asthma based on common patterns of gene expression in the sputum and blood were recently described in a cohort of children with asthma and were associated with near-fatal, severe, and milder asthma.<sup>27</sup>

There is a shift from investigator-imposed subjective disease clustering (hypothesis driven) to data-driven disease endotyping (hypothesis generating). New statistical tools such as supervised analysis, Latent Class Analysis (LCA), Bayesian Network Analysis (BNA), Topological Data Analysis (TDA) and several types of Network Analysis become available. From these tools, supervised analysis with receiver operating characteristic (ROC) curves and regression trees can find the best predictor from a set of continuous variables.<sup>28</sup> LCA is used to reduce the dimensionality of the data sets and to group variables into patterns.<sup>29</sup> BNA helps to explore associations between parameters,<sup>30</sup> while TDA can identify multi-dimensional endotypes.<sup>31</sup> In a recent study ROC curves identified IL-13 and IL-5 as best predictors for blood eosinophilia, followed by EDN and exhaled NO. In the regression tree model, blood eosinophilia was best predicted by IL-5. The next important variables were EDN and eNO.<sup>21</sup> The application of LCA integrating sputum supernatant eicosanoids with quantitative assessment of sputum cells revealed four distinct asthma classes differing in eicosanoid pathways.<sup>32</sup> In severe asthma, TDA identified six novel clinic-patho-biological clusters of underlying disease mechanisms, with elevated mast cell mediators tryptase, chymase, and carboxypeptidase A3.<sup>33</sup> BNA separated clinical features from intricately connected inflammatory pathways highlighting the expression of YKL-40 in patients with neutrophilic inflammation and the expression of MMPs in patients with severe asthma.<sup>34</sup> Apparently, generalized usage of these tools will help to better understand the complex pathophysiology and complex endotypes.

Data-driven models are another type of unbiased approach for characterization of endotypes. Multivariate regression splines (MARS) is a robust nonparametric modeling approach that outperforms other approaches including linear regression modeling, classification trees.<sup>35</sup> Non-parametric MARS modeling approach yields highly accurate classifiers of inflammatory endotypes in

asthma, exceeding all other machine-learning approaches tested.<sup>36</sup> Clinical biomarkers and blood gene expression collected from a stratified, cross-sectional study of asthmatic and non-asthmatic children enrolled into The Mechanistic Indicators of Childhood Asthma (MICA) study were used to construct a data-driven model. The model proved the interplay between innate and adaptive immune responses, with a dominant role for adaptive immunity in one group, innate immunity two other groups and a mixed contribution in the forth group. Metabolic syndrome-induced systemic inflammation was an associated factor in three of the four asthma endotypes. This study suggested underlying mechanisms linking obesity and asthma through systemic inflammation and common genetic background. The context provided by the clinical biomarker data was essential in interpreting gene expression patterns and identifying putative endotypes, which emphasizes the importance of integrated approaches when studying complex diseases.<sup>37</sup> In an adult asthma study metabolomic data, genome-wide genotype, gene expression, and methylation data were used to construct a Conditional Gaussian Bayesian Network (CGBN). Integrative and metabolic pathway over-representation analyses (ORA) identified enrichment of known biological pathways within the strongest molecular determinants. The CGBN model based on four SNPs and two metabolites could predict asthma control with an AUC of 95%. Integrative ORA identified 17 significantly enriched pathways related to cellular immune response, interferon signaling, and cytokine-related signaling. Arachidonic acid, prostaglandin E2 (PGE2) and sphingosine 1-phosphate (S1P), in addition to six genes appeared to drive the pathway results.<sup>38</sup> Protein–protein interaction network (PPIN) provides a new framework to detect genes for different stages of asthma. By investigating the proteins in stage-specific interactions, the study demonstrated that they are more likely related to asthma, and the functional enrichment analysis indicated that the pathways enriched in the differential interactions are related to the progress of asthma.<sup>39</sup>

Creation, harmonization and merging of large datasets will enable the validation of endotypes on a population level. Ideally, these large datasets would integrate existing clinical and “omics” data (e.g., genomic, proteomic, lipidomic, and microbiomic). The use of harmonized terminology for patient characteristics and outcomes, of standardized protocols and open access to repositories for biospecimen collection are of critical importance.

### Type 2 immune response driven complex endotype

Generally, it is considered that a type 2 immune response underlines atopic asthma and allergic rhinitis, as a fundament for the united airways disease concept.<sup>3,40</sup> Type 2 immune response involves Th2 cells, type 2 B cells, group 2 innate lymphoid cells (ILC), a small fraction of IL-4 secreting NK cells, IL-4 secreting NK-T cells, basophils, eosinophils and mast cells and their major cytokines. From a complex network of cytokines, IL-4, IL-5, IL-9 and IL-13 are mainly secreted from the immune system cells and IL-25, IL-31, IL-33 and TSLP from tissue cells, particularly epithelial cells<sup>41</sup> (Fig. 2). Both the innate and the acquired immune response contribute to type 2 immune response endotypes. Th1/Th17 inflammatory cells and non-allergic mechanisms such as environmental factors, psycho-social stress, activation of metabolic pathways, resident cells in the remodeled phenotype or epithelial barrier dysfunction further modulate the profile of type 2-driven inflammation.<sup>42–46</sup> In addition, type 2-driven inflammation is characterized by a high cellular plasticity that enables the cells to adapt to a specific inflammatory milieu. Several sub-endotypes might exist within the type 2 immune response complex endotype such as the IL-5-high, IL-13-high or IgE-high endotype<sup>7</sup> and their preponderance differs between allergic diseases (Fig. 3).<sup>7</sup>

In asthma, the type 2 immune response endotype has been related to response to inhaled corticosteroids<sup>3,47</sup> and to disease outcomes, such as exacerbations.<sup>48,49</sup> For asthma many targeted treatments are in various stages of clinical development for patients with type 2 immune response-driven inflammation: anti-IL-4/IL-13,<sup>50,51</sup> anti-IL-4,<sup>52</sup> anti-IL-5,<sup>53–55</sup> and anti-IgE antibodies,<sup>56,57</sup> as well as CRTH2 antagonists<sup>58–60</sup> and an endotype driven approach guided by biomarkers such as blood or sputum eosinophilia or serum periostin seems to predict treatment response.

In chronic rhinosinusitis (CRS) with nasal polyposis (NP) there is good evidence for a type 2 immune response driven endotype.<sup>61</sup> The eosinophilic pathway driven by IL-5 is prominent, together with local IgE production and innate immune response activation, such as ILC type 2 cells. Tissue eosinophilia and evidence of eosinophil activation are closely associated with remodeling features of CRS, associated mucosal damage and clinical symptoms.<sup>62</sup> A recent study evaluating the inflammatory endotypes of CRS using partition-based clustering showed several IL-5-positive clusters: a group with moderate IL-5 concentrations, a mixed CRS with and without nasal polyposis (NP) and increased asthma phenotype, and a group with high IL-5 levels, an almost exclusive NP phenotype with strongly increased asthma prevalence. In the IL-5 high group, two clusters demonstrated the highest concentrations of IgE and asthma prevalence, with all samples expressing *Staphylococcus aureus* enterotoxin-specific IgE.<sup>63</sup> Both anti IL-5 and anti IgE targeted interventions showed clinical efficacy in CRS with NP.<sup>64,65</sup> The IL-4/IL-13 pathway seems similar important for CRS with NP: targeted intervention with dupilumab on top of nasal steroids for 16 weeks significantly reduced the NP burden.<sup>66</sup>

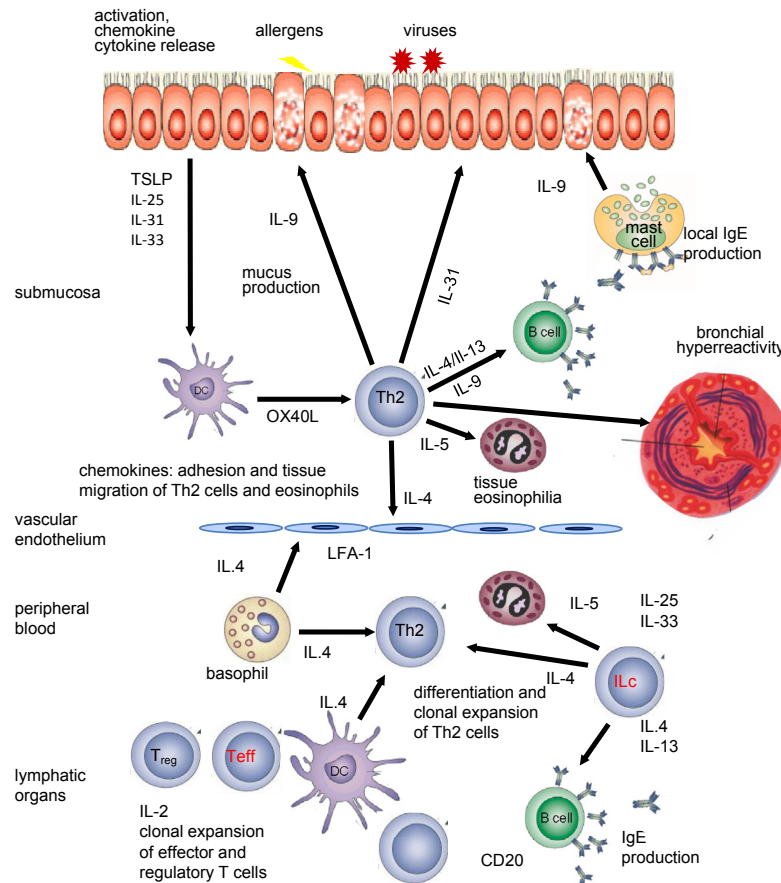
Type 2 immune response in AD covers the whole disease spectrum from background inflammation in non-lesional skin to acute disease flares and to chronic disease, peaking during acute flares.<sup>67–69</sup> IL-4/IL-13 seems to be key drivers since targeted treatment with dupilumab improved significantly the clinical responses and the AD gene signature in a dose-dependent manner.<sup>70–72</sup> Anti IgE treatment seemed less rewarding in AD, although a subgroup of responders can be identified by the absence of filaggrin mutations and altered lipid metabolite profiles with high levels of various glycerophospholipids.<sup>73</sup>

### Non-type 2 immune response driven complex endotype

Non-eosinophilic asthma is a well-documented asthma phenotype. Studies in large cohorts, such as the SARP (Severe Asthma Research Program) cohort, identified neutrophilic inflammation through induced sputum as an important hallmark of a distinct cluster of patients with moderate to severe asthma.<sup>74</sup> More recent studies evaluating the molecular signature of the Th2 genes<sup>23</sup> or using unsupervised hierarchical clustering of gene expression profiles in asthma<sup>75</sup> reinforce the reality of non-eosinophilic asthma. In CRS with NP unsupervised cluster analysis showed a distinct cluster characterized by neutrophilic inflammation<sup>76</sup> and Th1/Th17 polarization was described for NP sampled from Asian patients.<sup>77</sup> Age-related decline in neutrophil inflammation was related to better postoperative results in elderly patients with non-eosinophilic NP.<sup>78</sup> Th22- and Th1-driven inflammation is prominent in patients with the chronic form of AD.<sup>67–69</sup>

Several data sets support the relevance of multiple non-type 2-driven molecular sub-endotypes in allergic diseases related to neutrophilic inflammation, Th17 pathway activation, tissue remodeling and barrier defects, neurogenic inflammation with chemosensory dysfunction (Fig. 4).



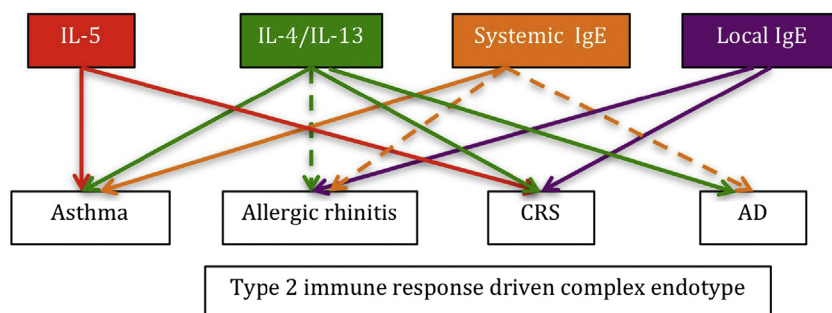


**Fig. 2.** The complex network of type 2 immune response. Activation of the epithelial cells and release of IL-25, IL-31, IL-33 and TSLP contribute to type 2 responses of T cells and innate lymphoid cells. These cytokines play a role in the production of allergen-specific IgE, eosinophilia, permissiveness of endothelium for the recruitment of inflammatory cells to inflamed tissues, production of mucus and decreased threshold of contraction of smooth muscles.

### Neutrophilic inflammation

Two major mechanisms leading to non type 2 inflammation have been postulated: the dysregulated innate immune response, including neutrophil intrinsic abnormalities and the activation of the IL-17-dependent pathway.<sup>79</sup> In addition, type 1 immune

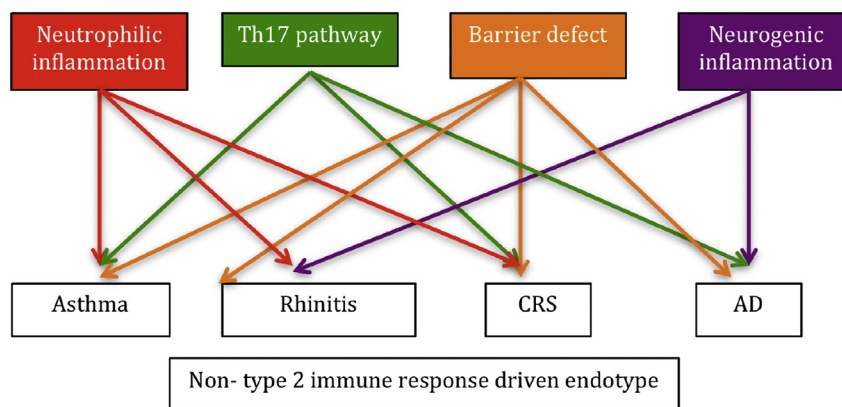
response, metabolic or epigenetic factors, or the activation of the epithelial-mesenchymal trophic unit that may lead to extensive remodeling without any inflammation have been identified as modulators. The endotyping of the non-type 2 allergic diseases lags well behind type 2 immune response, and until now, no endotype-driven interventions have been proven to be effective.



**Fig. 3.** The complex type 2 immune response driven endotype consists of several individual pathways with different preponderance in major allergic diseases. The IL-5 pathway is a therapeutic target in asthma validated in major phase III clinical trials leading to regulatory approval of mepolizumab for severe asthma and was targeted in a proof of concept study in CRS with NP. The IL-4/IL-13 pathway seems similarly important for asthma, CRS with NP and AD: targeted intervention with dupilumab significantly reduced symptom burden. Targeting systemic IgE is a well validated intervention in allergic asthma and new anti-IgE monoclonal antibodies are under clinical development. Anti-IgE treatment seemed less rewarding in AD. Local IgE production is a key pathogenic event driving the inflammation process both in AR and CRS. Up to now no targeted interventions were tested for AR as a primary disease phenotype.

Continuous line: type 2 immune response endotypes with a beneficial response to targeted treatment and/or strong evidence for involvement in disease pathogenesis. Dashed line: evidence relating to disease pathogenesis.

CRS, chronic rhinosinusitis; AD, atopic dermatitis.



**Fig. 4.** Multiple non-type 2-driven molecular sub-endotypes in major allergic diseases. Growing evidence supports a role for a dysregulated innate immune response promoting neutrophilic inflammation in asthma, rhinitis and CRS. The IL-17 pathway has been related to disease severity in asthma, CRS and AD. Tissue remodeling and barrier defects are major players modulating the non type-2 immune response in asthma and CRS, while barrier defect is central for all disease phenotypes. The neurogenic inflammation pathway appears of particular importance in rhinitis and AD.

Growing evidence supports a role for a dysregulated innate immune response in neutrophilic asthma, with altered gene expression of Toll like receptors and increased expression of genes from the IL-1 $\beta$  and TNF- $\alpha$ /nuclear factor- $\kappa$ B pathways,<sup>80</sup> phenotype switch and enhanced neutrophil chemotaxis and survival in the airways, impairment in anti-inflammatory mechanisms and in pathogen recognition and destruction processes and increased protease activity. The signature of non-eosinophilic asthma includes three genes involved in innate immune response: IL-1 $\beta$ , alkaline phosphatase tissue-nonspecific isozyme and CXCR2.<sup>81</sup>

In an experimental model of Th1/neutrophil-predominant asthma, TNF $\alpha$  reduced the responsiveness to steroids and neutralization of TNF $\alpha$  using etanercept restored glucocorticoid sensitivity.<sup>82</sup> Recently, the TNF superfamily member, LIGHT, has been implicated as a mediator in asthmatic airway inflammation. Human bronchial epithelial cells express the receptor for LIGHT, the lymphotoxin  $\beta$  receptor (LT $\beta$ R) and upon stimulation with LIGHT release IL-6, oncostatin M, MCP-1, growth-regulated protein  $\alpha$  and IL-8.<sup>83</sup>

In non-eosinophilic asthma blood neutrophils release significantly higher levels of IL-8 at rest. Microarrays demonstrated expression profiles that were significantly distinct from eosinophilic asthma: 317 genes were significantly altered in resting neutrophils, including genes related to cell motility and regulation of apoptosis.<sup>84</sup> Persistent elevation of airway neutrophils due to impaired efferocytosis was also described. Galectin-3 (gal-3) is significantly reduced in neutrophilic asthma compared with eosinophilic and paucigranulocytic asthma. In addition, patients with neutrophilic asthma have impairment in anti-inflammatory ratio of gal-3/gal-3 binding protein and IL-1RA/IL-1 $\beta$ .<sup>85</sup>

Altered pathogen recognition and destruction processes are present with macrophage efferocytosis impaired in non-eosinophilic asthma to a similar degree as in COPD.<sup>86</sup> A low number of neutrophils or the presence of a type of neutrophils with compromised antimicrobial activity was related to the formation of biofilms in CRS.<sup>87</sup>

The increased activity of neutrophil elastase is in direct correlation with the levels of interleukin-8 and neutrophils.<sup>88</sup> In a subset of chronic obstructive lung disease (COPD) patients the tripeptide matrikine proline-glycine-proline (PGP) seems to play a role. Azithromycin and roflumilast have been shown to reduce acute exacerbations of COPD and recent evidence has linked both of these agents to modulation of the PGP/neutrophil pathway in concert with this exacerbation reduction, thus supporting the PGP/

neutrophil pathway as a new target for the neutrophilic airway inflammation.<sup>89</sup>

#### IL-17 and neutrophilic endotype

Through the induction of cytokines and chemokines IL-17 is a major driving force for the recruitment of neutrophil granulocytes in the lungs and their activation directly through CXCL8 production or indirectly by inducing the production of IL-6, G-CSF and GM-CSF, IL-8, CXCL1, and CXCL5 by airway epithelial cells. Although Th17 cells represent a core source of IL-17, a newly identified population of innate lymphoid cells (ILCs) is also able to produce IL-17.<sup>90,91</sup> IL-17 – producing ILC3s express CC chemokine receptor 6, produce IL-17 and sometimes IL-22, and are central to the development of asthma in obese mice. Increased numbers of this subset of cells have been found in the bronchoalveolar lavage (BAL) fluid of obese individuals with severe asthma.<sup>92</sup>

A likely role of IL-17 in neutrophilic asthma is suggested by the significant association between IL-17 and other diseases with underlying neutrophilic inflammation, such as psoriasis, and by the efficiency of IL-17-targeted therapies in these diseases.<sup>93</sup> The link between neutrophilic inflammation and Th17 immunity is well established in mouse models of asthma, where it has been linked to the development of airway hyperreactivity (AHR) and remodeling.<sup>94,95</sup> Human data are accumulating, however a cause and effect relationship between IL-17 production and neutrophilic asthma has not been demonstrated and targeted intervention with brodalumab (an anti IL-17 receptor monoclonal antibody) did not show a significant treatment effect.<sup>96</sup> A strong correlation between IL-17 and neutrophils was established in induced sputum and blood.<sup>97,98</sup> IL-17 has been linked to remodeling, AHR, asthma severity and inflammation.<sup>99–101</sup> In the Clinical Asthma Research Association cohort study, patients with non-allergic asthma showed very distinctly IL-17-shifted proinflammatory immunity, promoting neutrophil inflammation and less functional Treg cells. In parallel, expressions of anti-inflammatory IL-37, proline-serine-threonine phosphatase-interacting protein 2, the neutrophil-associated genes CD93, triggering receptor expressed on myeloid cells 1, and regulator of G-protein signaling 13 were increased.<sup>102</sup> In a recent study however Th17 cells and  $\gamma\delta$ 17 cells were not associated with asthma, even in the severe neutrophilic forms. Instead, mucosal associated invariant T (MAIT)-cell frequencies were strikingly reduced in asthma compared with health, both in sputum and in

bronchial biopsies, especially in severe asthma where BAL regulatory T cells were also reduced.<sup>34</sup>

Th17 cells are resistant to glucocorticoid-induced apoptosis and cytokine suppression, at least in part due to high levels of BCL-2.<sup>103</sup> These findings support a role of Th17 cells in steroid-resistant (SR) endotypes of asthma, chronic rhinosinusitis or atopic dermatitis. The IL-17 pathway was related to decreased corticosteroid sensitivity, also a characteristic of neutrophilic asthma.<sup>104</sup> Patients with SR asthma produced significantly increased IL-17A and IFN- $\gamma$  levels compared to steroid sensitive (SS) asthma. Production of IL-17A was inversely and production of IL-13 was positively associated with the clinical response to prednisolone. Oral calcitriol significantly improved dexamethasone-induced IL-10 production in vitro while suppressing dexamethasone-induced IL-17A production. This effect mirrored the previously demonstrated improvement in clinical response to oral glucocorticoids in calcitriol-treated patients with SR asthma. These data identify immunologic pathways that likely underpin the beneficial clinical effects of calcitriol in patients with SR asthma and suggest strategies for identifying vitamin D responders in asthma.<sup>105</sup>

Inflammatory cell expression of IL-9 and IL-17C is increased in CRS, especially in association with NP, asthma and atopy.<sup>106</sup> Th17 cytokines (IL-17, IL-22, and IL-26) have been shown to induce a significant disruption of the epithelial barrier, in contrast to the application of interferons and of Th1 or Th2 cytokines who did not affect the mucosal barrier function.<sup>107</sup> IL-17 receptor B levels are increased in immune cells of patients with NPs compared to controls and IL-25 expression is up-regulated in NP mucosa from patients with CRS with NPs compared with control subjects and those with CRS without NPs. IL-25 mRNA expression positively correlated with the expression of T-box transcription factor, RAR-related orphan receptor C, GATA3, eosinophil cationic protein, TGF- $\beta$ 1, and TGF- $\beta$ 2. In the murine NP model IL-25 was also more abundant compared with controls mice, and similar correlations with inflammatory markers were observed. Anti-IL-25 treatment reduced the number of polyps, mucosal edema thickness, collagen deposition, infiltration with inflammatory cells, and expression of IL-4 and IFN- $\gamma$ , CCL11, CXCL2, ICAM 1 and VCAM 1.<sup>108</sup>

Th17 cells are increased in both skin<sup>52–54</sup> and blood of patients with AD.<sup>109</sup> In a mouse model of AD deficiency of filaggrin appeared to be a driver of increased peripheral levels of Th17 cells. The authors hypothesized that this increase must be acquired as peripheral Th17 cells were found only in adult mice indicating involvement of exogenous factors.<sup>110</sup>

### Neurogenic inflammation

Neurogenic rhinitis is a particular endotype characterized by a relative overexpression of transient receptor potential channels on trigeminal nerves and high concentrations of substance P and neurokinins and is linked to gustatory rhinitis, rhinitis of the elderly, and idiopathic rhinitis with nasal hyperreactivity.<sup>111</sup> The serum level of the nerve growth factor (NGF) is significantly higher in AD compared to controls, and correlates with the severity of itch, erythema, scale/xerosis and eosinophil count.<sup>112</sup> There is increased expression of NGF in the lesional compared to non-lesional AD and the number of mast cell-nerve fibers (NF) contacts correlates with SCORAD and itch in lesional skin. Nicotinic acetylcholine receptor  $\alpha$ 7 mRNA, a marker for the non-neuronal cholinergic system (NNCS) was significantly lower in lesional AD skin at baseline. After the stress test NF numbers dropped in lesional AD as did their contacts with mast cells and NGF + NF now correlated with SCORAD and mast cell-NF contacts with itch in non-lesional skin. At the same time, expression of secreted mammal Ly-6/urokinase-

type plasminogen activator receptor-related levels (a marker for NNCS activation) increased in lesional AD skin.<sup>113</sup>

### The mixed type 2/Th17 endotype

The mixed Th17/Th2 endotype is not rare in asthma, CRS or AD. Th2 cells can differentiate into dual-positive Th2/Th17 cells<sup>114</sup> and these cells were identified in the BAL fluid of asthmatic patients and related to glucocorticoid resistance in vitro and airway obstruction and hyperreactivity.<sup>43</sup> In CRS IL-25 receptor (IL-17RB)-expressing Th2 effector cells were identified in NP tissue but not the healthy nasal mucosa or periphery, while abundant IL-17-producing T cells were observed in both healthy nasal mucosal and polyp populations, thus suggesting that the Th17 response might be important in healthy nasal mucosal immune homeostasis and the NP inflammation and remodeling occurs within the mixed endotype.<sup>115</sup> A non-type 2 immune response mixing Th1, Th17 and Th22 driven inflammation and epithelial dysfunction is also described for AD in non-lesional skin and in the chronic remitting-relapsing form of the disease.<sup>67–69</sup>

The relationship between Th17 and type 2 immune response is highly complex. An interesting model suggests that IL-17 produced by  $\gamma\delta$  T cells and by Th17 cells in response to injured epithelium enhances the production of IL-4 and IL-13 from ILC2 and Th2 cells, which acts as a negative feedback loop shutting down further IL-17 production and neutrophilia.<sup>116</sup> Conversely, IL-4 and IL-13 may amplify Th17 responses by up-regulating CD209a expression on dendritic cells.<sup>117</sup> When the type 2 response fails to fully suppress the IL-17 response, or further promotes it, excessive tissue damage can occur. Understanding what regulates the IL-17–IL-4/13 feedback loops will provide invaluable insight into situations in which IL-17 exacerbates type 2 inflammation.

In a cross-sectional study of asthmatics of varying severity endobronchial tissue gene expression analysis revealed three major patient clusters: Th2-high, Th17-high, and Th2/17-low. In individual patient samples, Th2-high and Th17-high patterns were mutually exclusive and their gene signatures were inversely correlated and differentially regulated by IL-13 and IL-17A. This dichotomous pattern was further investigated in a preclinical model of allergen-induced asthma. Neutralization of IL-4 and/or IL-13 resulted in increased Th17 cells and neutrophilic inflammation in the lung. Neutralization of both IL-13 and IL-17 protected mice from eosinophilia, mucus hyperplasia, and airway hyperreactivity and abolished the neutrophilic inflammation, suggesting that combination therapies targeting both pathways may maximize therapeutic efficacy across a patient population with a mixed Th2/Th17 endotype.<sup>118</sup>

Chitinases and chitinase-like proteins (CLPs) may be an important link between type 2 immunity and IL-17. Acting as danger-associated molecular patterns CLPs release IL-1 with IL-17 production by innate  $\gamma\delta$  T cells, which in turn drives a type 2 response. Subsequently type 2 cytokines induce even more CLP expression, which likely further contribute to repair. Additionally, CLPs can promote Th2 differentiation and suppress IFN $\gamma$ , further promoting type 2 immunity.<sup>119</sup> Furthermore neutrophils can switch to an N2 phenotype, contributing to the pool of IL-13, which in addition to IL-4, promotes activation of macrophages limiting further injury and promoting repair.<sup>120</sup> IL-33 is a co-factor that cooperates with IL-17A to exacerbate AHR by enhancing neutrophilic inflammation via CXCR2 signaling. Furthermore, CXCR2 signaling derived Th2 responses are enhanced.<sup>118</sup> In patients with CRS with NP IL-25 and IL-33 interact locally with IL-17RB+ST2+ polyp T cells to augment Th2 responses.<sup>115</sup> IL-17 significantly increases MUC5B mucin expression, while IL-13 additionally induces significant goblet cell hyperplasia and MUC5AC mucin expression in CRS with NP. IFN- $\gamma$



and IL-13 both significantly reduce ciliated cell differentiation and ciliary beat frequency.<sup>121</sup>

### Unmet needs and perspectives

To emphasize the importance of endotyping of diseases, discovery of biomarkers, it will be good to quote a pioneer in precision medicine, Sir John Bell, Professor of Medicine at Oxford University, and Chairman of the Office for the Strategic Coordination of Health Research: “The best example of precision medicine in my opinion does not come from cancer, it comes from asthma. For this condition, we have gone more than 20 years without a new drug, because the disease was not defined very well.” (<http://www.pharmafile.com/news/>.) As said, successful management of patients with severe asthma and allergic diseases continues to be a major unmet need. One of the barriers to successful management is the heterogeneity of the diseases with different phenotypes and endotypes. A revised paradigm for disease management, that entails categorization of patients via use of endotypes and multiomic biomarkers for prescribing targeted therapy, will replace the “one size fits all” approach to allergic diseases. The success of cytokine inhibition defining the hierarchical position of distinct cytokines in allergic diseases pathogenesis with the description of meaningful clinical endotypes hold the promise to transform the therapeutic landscape in allergic diseases in a precision based fashion.

The precision medicine initiative recently announced the need to move the field of precision medicine more rapidly into clinical care. As currently structured, it will primarily fund efforts in cancer genomics with longer-term goals of advancing precision medicine to all areas of health. This focused effort provides scientists, clinicians, and patients within the allergy community an opportunity to work together boldly to advance clinical care; the community needs to be aware and engaged in the process as it progresses.

To move beyond a select few genes/drugs, the successful adoption of pharmacogenomics into routine clinical care and development of computational approaches and tools to effectively integrate multidomain data (such as cognitive IT-systems) are urgently needed for the development of newly targeted treatments. Real-time databases for molecular profiling data could become a pragmatic solution to several knowledge management problems in the practice and science of precision medicine. “Interventional informatics” approach can substantially improve human health and wellness through the use of data-driven interventions at the point of care of broader population levels. The collaborative medicine approach with open access to research databases worldwide will speed the discovery of new targets.

### Conclusion

The endotype driven approach implementing multiomics, biomarkers and computation approaches to big data analysis is slowly making its way into the precision management of allergic diseases.

Several disease complex endotypes can be identified for asthma, AR, CRS and AD. Sub-endotypes exist within complex endotype umbrella, and their preponderance differs between allergic diseases. Both the innate and the acquired immune response contribute to type 2 and non type-2 immune response endotypes and non-allergic mechanisms such as environmental factors, psycho-social stress, activation of metabolic pathways, resident cells in the remodeled phenotype or epithelial barrier dysfunction further modulate the profile of the inflammation.

The recent focus on precision medicine opens new opportunities for the allergy community to advance in the clinical care of allergic patients in a cost-efficient and equitable way.

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